

<b>Report to:</b>	Audit and Governance Committee	<b>Date of Meeting:</b>	Wednesday 19 July 2023
<b>Subject:</b>	Audit and Governance Committee - Quarterly Work Programme Update Report		
<b>Report of:</b>	Executive Director of Corporate Resources and Customer Services	<b>Wards Affected:</b>	(All Wards);
<b>Portfolio:</b>	Regulatory, Compliance and Corporate Services		
<b>Is this a Key Decision:</b>	No	<b>Included in Forward Plan:</b>	No
<b>Exempt / Confidential Report:</b>	No		

### Summary:

The Audit and Governance Committee Work Programme has been developed to help ensure that all of the responsibilities of the Committee set out in the Audit and Governance Committee's Terms of Reference are discharged during the municipal year.

This report provides an update on reports listed for submission at the meeting of the Audit and Governance Committee held on 15 March 2023 and reasons for non-submission of some of the reports listed.

The reports considered at the meeting on 15 March 2023 were listed in the Work Programme for the 2022-2023 Municipal Year, which had been approved by Audit and Governance Committee on 16 March 2022.

The Audit and Governance Committee Work Programme for 2023-2024 was approved on 15 March 2023.

### Recommendation:

The Committee is requested to note the Audit and Governance Committee Work Programme update on reports listed for submission to the meeting held on 15 March 2023.

### Reasons for the Recommendation

The Chartered Institute of Public Finance and Accountancy (CIPFA) publication - "Practical Guidance for Local and Authorities and Police" recommends as good practice, the provision of an annual Work programme to enable Audit and Governance Committees to discharge their duties in accordance with their Terms of Reference.

Adherence to the work plan ensures that the Audit and Governance Committee has a structured, consistent approach to fulfilling its responsibilities as detailed in the CIPFA guidance.

**Alternative Options Considered and Rejected:** (including any Risk Implications)

To not have a Work Programme and not update the Committee on reasons for non-submission of expected reports would lessen the effectiveness of the Audit and Governance Committee.

**What will it cost and how will it be financed?**

**(A) Revenue Costs**

None directly

**(B) Capital Costs**

None directly

**Implications of the Proposals:**

<b>Resource Implications (Financial, IT, Staffing and Assets):</b> There are no direct resource implications	
<b>Legal Implications:</b> There are no direct legal implications	
<b>Equality Implications:</b> There are no direct equality implications.	
<b>Climate Emergency Implications:</b>  The recommendations within this report will	
Have a positive impact	N
Have a neutral impact	Y
Have a negative impact	N
The Author has undertaken the Climate Emergency training for report authors	Y
This report provides an update on reports to be provided to the Audit and Governance Committee in December 2022 as set in the Work Programme and as such does not have any climate emergency implications.	

**Contribution to the Council's Core Purpose:**

Protect the most vulnerable: N/A
Facilitate confident and resilient communities: N/A
Commission, broker and provide core services: Yes
Place – leadership and influencer: N/A
Drivers of change and reform: Yes
Facilitate sustainable economic prosperity: N/A
Greater income for social investment: N/A
Cleaner Greener: N/A

**What consultations have taken place on the proposals and when?**

## **(A) Internal Consultations**

The Executive Director of Corporate Resources and Customer Services (FD 7297/23 ) and the Chief Legal and Democratic Officer (LD 5497/23 ) have been consulted and any comments have been incorporated into the report.

## **(B) External Consultations**

None

## **Implementation Date for the Decision**

Immediately following the Committee meeting.

<b>Contact Officer:</b>	Ruth Appleby
Telephone Number:	Tel: 0151 934 2181
Email Address:	ruth.appleby@sefton.gov.uk

## **Appendices:**

Appendix 1 - Update on Reports listed in the 2022-2023 Audit and Governance Committee Work Programme and ad hoc reports submitted for consideration by Audit and Governance Committee held on 15 March 2023.

## **Background Papers:**

CIPFA – Audit Committees – Practical Guidance for Local Authorities and Police 2022 Edition can be viewed at the following link: [Sefton Home](#)

### **1. Introduction/Background**

The Work Programme for each Municipal Year is an important element in planning the year ahead as being closely aligned with the Terms of Reference, it ensures that the Committee has a structured, consistent approach to fulfilling its responsibilities within the Terms of Reference and helps to ensure the effectiveness of the Audit and Governance Committee. It is also important to note that a degree of flexibility is applied in order that any ad hoc / urgent reports may be considered by the Committee as and when required.

### **2. Work Programme Update – March 2023**

- 2.1 It is good practice to provide the Audit and Governance Committee with regular updates on reports listed in the Work Programme and submission of ad hoc reports for consideration at each of its quarterly meetings.
- 2.2 Appendix 1 provides details of the reports listed in the 2022-2023 Work Programme for consideration at the meeting of the Audit and Governance

Committee held on 15 March 2023 and the reasons for non-submission of some of the reports listed. It also provides details of ad hoc reports submitted which were not listed in the Work Programme.

- 2.3 As agreed by Audit and Governance Committee on 22 June 2022, update reports on adherence to the Work Programme will be provided to each of the quarterly meetings of the Audit and Governance Committee throughout the municipal year.